

CERTIFICATE - DISCLOSURE OF OWNERSHIP/PRINCIPALS

1. Definitions

"City" means the City of Las Vegas.

"City Council" means the governing body of the City of Las Vegas.

"Contracting Entity," means the individual, partnership, or corporation seeking to enter into a contract with the City of Las Vegas.

"Principal" means, for each type of business organization, the following: (a) sole proprietorship – the owner of the business; (b) corporation – the directors and officers of the corporation; but not any branch managers of offices which are a part of the corporation; (c) partnership – the general partner and limited partners; (d) limited liability company – the managing member as well as all the other members; (e) trust – the trustee and beneficiaries.

2. Policy

In accordance with Resolutions 79-99, 105-99 and RA-4-99, adopted by the City Council, Contracting Entities seeking to enter into certain contracts with the City of Las Vegas must disclose information regarding ownership interests and principals. Such disclosure generally is required in conjunction with a Request for Proposals (RFP). In other cases, such disclosure must be made prior to the execution of a contract.

3. Instructions

The disclosure required by the Resolutions referenced above shall be made through the completion of this Certificate. The Contracting Entity shall complete Block 1, Block 2, and Block 3. The Contracting Entity shall complete either Block 4 or its alternate in Block 5. Specific information, which must be provided, is highlighted.

4. Incorporation

An updated and notarized Certificate shall be incorporated into the resulting contract, if any, between the City and the Contracting entity. Upon execution of such contract, the Contracting Entity is under a continuing obligation to notify the City in writing of any material changes to the information in this Certificate. This notification shall be made within fifteen (15) days of the change. Failure to notify the City of any material change may result, at the option of the City, in a default termination (in whole or in part) of the contract, and/or a withholding of payments due the Contracting Entity.

Block 1: Contracting Entity	
Name: Carollo Engineers, Inc.	
Address: 376 E. Warm Springs Road, Suite 250	City / ST / Zip: Las Vegas, NV 89119
Telephone: (702) 792-3711	EIN or DUNS : 86-0899222
Block 2: Description / Subject Matter of Contract	
Services for: WPCF Dewatering Building Improvements	Project Number: 210278-JH
Block 3: <u>Type of Business</u>	
<input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Limited Liability Company <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Other:	

CERTIFICATE – DISCLOSURE OF OWNERSHIP/PRINCIPALS (CONTINUED)

Block 4: Disclosure of Ownership and Principals			
In the space below, the Contracting Entity must disclose all principals (including partners) of the Contracting Entity, as well as persons or entities holding more than one-percent (1%) ownership interest in the Contracting Entity.			
	FULL NAME/TITLE	BUSINESS ADDRESS	BUSINESS PHONE
1	Jess C. Brown, Senior V.P.	3150 Bristol Street, Suite 500 Costa Mesa, California 92626	(714) 593-5100
2	Christopher T. Cleveland, Senior V.P.	2880 Gateway Oaks Drive, Suite 300 Sacramento, CA 95833	(916) 565-4888
3	R. Paul Walker, Senior V.P.	10375 Richmond Avenue, Suite 1625 Houston, TX 77042	(281) 872-4512
4	John S. Fraser, Senior V.P.	390 Interlocken Crescent, Suite 800 Denver, CO 80021	(303) 635-1220
5	Brian R. Matson, Senior V.P.	1200 5 th Avenue, Suite 900 Seattle, WA 98101	(206) 684-6532
6	Gil F. Crozes, Senior V.P.	707 Wilshire Boulevard, Suite 3920 Los Angeles, CA 90017	(213) 489-1587
7	James P. Hagstrom, Executive V.P. & Director	2795 Mitchell Drive Walnut Creek, CA 94598	(925) 932-1710
8	Ashwani K. Wason, Executive V.P. & Director	3150 Bristol Street, Suite 500 Costa Mesa, California 92626	(714) 593-5100
9	B. Narayanan, President & Director	2795 Mitchell Drive Walnut Creek, CA 94598	(925) 932-1710
10	Eric G. Leveque, Senior V.P.	376 E. Warm Springs Road, Suite 250 Las Vegas, NV 89119	(702) 792-3711
11	David C. Sobeck, Executive V.P. & Director	4600 E. Washington Street, Suite 500 Phoenix, AZ 85034	(602) 263-9500
12	Robert S. Cushing, Senior V.P.	301 N. Cattleman Road, Suite 302 Sarasota, FL 34232	(941) 371-9832
13	Douglas J. Lanning, Senior V.P.	3150 Bristol Street, Suite 500 Costa Mesa, California 92626	(714) 593-5100
14	Lyle D. Munce, Senior V.P.	2056 Vista Parkway, Suite 400 West Palm Beach, FL 33411	(561) 868-6400
15	Kenneth A. Wilkins, Senior V.P.	2795 Mitchell Drive Walnut Creek, CA 94598	(925) 932-1710
16	Larry E. Elliott, Senior V.P.	200 East Robinson Street, Suite 1400 Orlando, FL 32801	(407) 478-4642
17	Rick L. Chan, Senior V.P.	2795 Mitchell Drive Walnut Creek, CA 94598	(925) 932-1710
18	Walid T. Karam, Senior V.P.	3150 Bristol Street, Suite 500 Costa Mesa, California 92626	(714) 593-5100
19	Russell A. Wachter, Executive V.P. & Director	4600 E. Washington Street, Suite 500 Phoenix, AZ 85034	(602) 263-9500

20	Scott M. Vanier, Senior V.P.	10822 West Toller Drive, Suite 200 Littleton, CO 80127	(303) 980-8260
21	James A. Meyerhofer, Senior V.P.	3150 Bristol Street, Suite 500 Costa Mesa, California 92626	(714) 593-5100
22	Scott E. Parker, Senior V.P.	2880 Gateway Oaks Drive, Suite 300 Sacramento, CA 95833	(916) 565-4888
23	Louis J. Carella, Senior V.P.	2795 Mitchell Drive Walnut Creek, CA 94598	(925) 932-1710
24	Michael W. Barnes, Secretary	2795 Mitchell Drive Walnut Creek, CA 94598	(925) 932-1710
25	Vincent S. Hart, Executive V.P.	390 Interlocken Crescent, Suite 800 Denver, CO 80021	(303) 635-1220
26	Scott P. Hoff, Senior V.P.	14755 Preston Road, Suite 500 Dallas, TX 75254	(972) 239-9949
27	Thomas O. Crowley, V.P.	903 East 104th Street, Suite 230 Kansas City, MO 64131	(816) 942-5027

The Contracting Entity shall continue the above list on a sheet of paper entitled "Disclosure of Ownership/Principals – Continuation" until full and complete disclosure is made. If continuation sheets are attached, please indicate the number of sheets: 2

Block 5: Disclosure of Ownership and Principals – Alternate

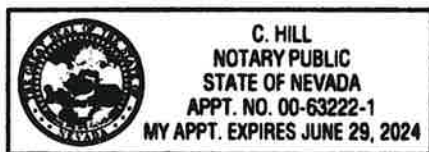
If the Contracting Entity, or its principals or partners, are required to provide disclosure (of persons or entities holding an ownership interest) under federal law (such as disclosure required by the Securities and Exchange Commission or the Employee Retirement Income Act), a copy of such disclosure may be attached to this Certificate in lieu of providing the information set forth in Block 4 above. A description of such disclosure documents must be included below.

Name of Attached Document: _____

Date of Attached Document: _____ Number of Pages: _____

Contracting Party Certification (Notarized signature required in event of contract award per section 4, "Incorporation")

I certify under penalty of perjury, that all the information provided in this Certificate is current, complete and accurate. I further certify that I am an individual authorized to contractually bind the above named Contracting Entity.



Signature
3/3/2021
Date

Subscribed and sworn to before me this 3RD day of MARCH, 20 21

Notary Signature